

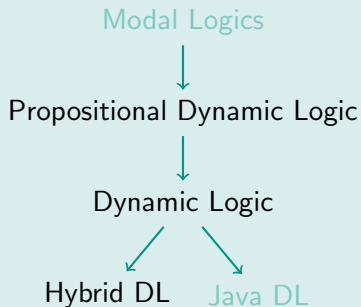
Formale Systeme II: Theorie

Dynamic Logic: Uninterpreted and Interpreted First Order DL

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Overview – a family of logics



First Order Dynamic Logic

Atomic programs are refined to **assignments**.

Example Formula

$$x_0 = x \wedge y_0 = y \rightarrow [x := x + y; y := x - y; x := x - y] \varphi$$

Inherit from FOL:

- Terms over function symbols and variables
- Predicate symbols
- Quantification over variables

Inherit from PDL

- Modalities
- Composite program constructors

Refine PDL

Unspecified atomic programs replaced by assignments $var := term$

Syntactical material

$\Sigma = (F, P, \alpha)$... signature

F ... function symbols

P ... predicate symbols

$\alpha : F \cup P \rightarrow \mathbb{N}$... arity function

Var ... set of variables

- No atomic programs like in PDL
- Same as for FOL

As abstract grammar:

$$term ::= var \mid f(term_1, \dots, term_{\alpha(f)})$$
$$\begin{aligned} fml ::= & true \mid false \mid p(term_1, \dots, term_{\alpha(p)}) \mid term_1 = term_2 \\ & \mid \neg fml \mid fml_1 \wedge fml_2 \mid fml_1 \vee fml_2 \mid fml_1 \rightarrow fml_2 \\ & \mid \exists var. fml \mid \forall var. fml \\ & \mid [prog] fml \mid \langle prog \rangle fml \end{aligned}$$
$$\begin{aligned} prog ::= & var := term \\ & \mid var := * \\ & \mid prog_1 ; prog_2 \mid prog_1 \cup prog_2 \mid prog^* \mid \end{aligned}$$

for $var \in Var, f \in F, p \in P$

First Order Structure (D, I)

D ... set of objects (domain) I ... Interpretation

$I(f) : D^{\alpha(f)} \rightarrow D$ for function symbol $f \in F$

$I(P) \subseteq D^{\alpha(P)}$ for predicate symbol $p \in P$

Kripke Structure (S, ρ)

S ... set of states $\rho : \text{prog} \rightarrow S \times S$... accessibility relation

FODL: Fixed Kripke Frame $\mathcal{K}_D = (S_D, \rho_D)$

which depends on the domain D

The set of states \mathcal{K}_D is the set of assignments of elements in the universe D to variables in Var :

$$S = Var \rightarrow D$$

For every $t \in Term_\Sigma$ we denote by

$$val_{D,I,s}(t)$$

the usual first-order evaluation of t in (D, I) ;
variables are interpreted via s .

Notation: for $s \in S_D$, $x \in Var$, $a \in D$

$$s[x/a](y) = \begin{cases} a & \text{if } y = x \\ s(y) & \text{otherwise} \end{cases}$$

Binary Relation

$\rho : \text{prog} \rightarrow S_D \times S_D$ assigns accessibility to programs

$$\rho(x := t) = \{(s, t) \mid t = s[x/val_{D,I,s}(t)]\}$$

$$\rho(x := *) = \{(s, t) \mid \text{ex. } a \text{ with } t = s[x/a]\}$$

$$\rho(\pi_1 \cup \pi_2) = \rho(\pi_1) \cup \rho(\pi_2)$$

$$\begin{aligned} \rho(\pi_1 ; \pi_2) &= \rho(\pi_1) ; \rho(\pi_2) \quad ; \text{ is forward composition} \\ &= \{(s, t) \mid \text{ex. } u \in S_D \text{ with } (s, u) \in \rho(\pi_1), (u, t) \in \rho(\pi_2)\} \end{aligned}$$

$$\begin{aligned} \rho(\pi^*) &= \rho(\pi)^* \quad * \text{ is refl. transitive closure} \\ &= \{(s_o, s_n) \mid \text{ex. } n \geq 0 \text{ with } (s_i, s_{i+1}) \in \rho(\pi) \text{ f.a. } i < n\} \end{aligned}$$

$$\rho(? \varphi) = \{(s, s) \mid I, s \models \varphi\}$$

$$I, s \models p(t_1, \dots, t_n) \quad \text{iff} \quad (\text{val}_{I,s}(t_1), \dots, \text{val}_{I,s}(t_n)) \in I(p)$$

$$I, s \models t_1 = t_2 \quad \text{iff} \quad \text{val}_{I,s}(t_1) = \text{val}_{I,s}(t_2)$$

$$I, s \models [\pi]F \quad \text{iff} \quad I, s' \models F \text{ for all } s' \text{ with } (s, s') \in \rho(\pi)$$

$$I, s \models \langle \pi \rangle F \quad \text{iff} \quad I, s' \models F \text{ for some } s' \text{ with } (s, s') \in \rho(\pi)$$

\models is homomorphic for $\neg, \wedge, \vee, \rightarrow, \forall x, \exists x$.

We write $I \models \varphi$ iff $I, s \models \varphi$ for all $s \in S$.

Basic Observation

$\pi \in \text{prog}$ a program

$FV(\pi) = \{x \in \text{Var} \mid \text{ex. } t \text{ such that } x := t \text{ occurs in } \pi\}$

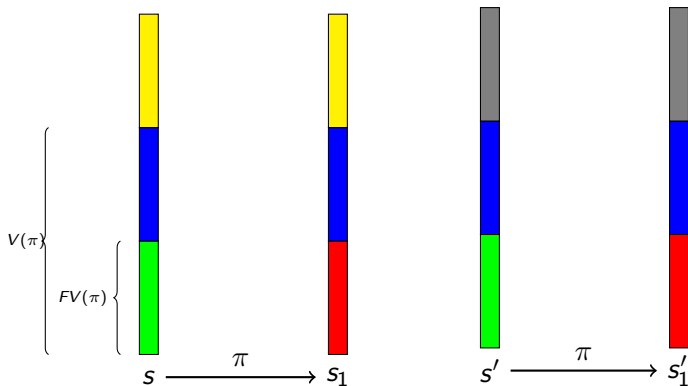
$V(\pi) = \{x \in \text{Var} \mid x \text{ occurs in } \pi\}$

- 1 If $(s, s_1) \in \rho(\pi)$ then $s(x) = s_1(x)$ for all $x \notin FV(\pi)$.
i.e., program π only changes variables in $FV(\pi)$;
- 2 If $(s, s_1) \in \rho(\pi)$ then $(s[x/a], s_1[x/a]) \in \rho(\pi)$
for $a \in D$, $x \notin V(\pi)$.
i.e., variables outside $V(\pi)$ do not influence the program π ;
- 3 **more general:** If $(s, s_1) \in \rho(\pi)$ and $s' \in S_D$ such that $s'(y) = s(y)$ for all $y \in V^\pi$ then there is s'_1 such that
 - 1 $(s', s'_1) \in \rho(\pi)$ and
 - 2 $s'_1(x) = s'(x)$ for all $x \notin V(\pi)$
 - 3 $s'_1(y) = s_1(y)$ for all $y \in V(\pi)$.

Basic Observation

$(s, s_1) \in \rho(\pi)$ and s' with $s'(y) = s(y)$ for all $y \in V(\pi)$
 then there is s'_1 with

$$(s', s'_1) \in \rho(\pi), \quad s'_1(x) = \begin{cases} s'(x) & \text{for all } x \notin V(\pi) \\ s_1(x) & \text{for all } x \in V(\pi) \end{cases}.$$



All PDL tautologies
e.g. $[\pi; \tau]\varphi \leftrightarrow [\pi][\tau]\varphi$

$$[x := t]\varphi \leftrightarrow \langle x := t \rangle \varphi$$

$$[x := *]\varphi \leftrightarrow \forall x. \varphi$$

$$\langle x := * \rangle \varphi \leftrightarrow \exists x. \varphi$$

φ a FO formula w/o quantification over x :

$$[x := t]\varphi \leftrightarrow \varphi[x/t]$$

$$\forall x. [\pi]\varphi \leftrightarrow [\pi]\forall x. \varphi \quad \text{if } x \notin V(\pi)$$

Example

$$z = y \wedge \forall x. f(g(x)) = x$$

$$\rightarrow [(y := g(y))^*] \langle (y := f(y))^* \rangle y = z$$

$$z = y \wedge \forall x. f(g(x)) = x$$

$$\rightarrow [\mathbf{while} \ p(y) \ \mathbf{do} \ y := g(y)] \langle \mathbf{while} \ y \neq z \ \mathbf{do} \ y := f(y) \rangle \mathit{true}$$

DL programs can be indeterministic

Sources of indeterminism

- Non-deterministic choice \cup
- Non-deterministic iteration $*$
- Non-deterministic assignment $v := *$

Example for $v := *$:

choose x such that $p(x)$ \leftrightarrow $x := * ; ?p(x)$

Definition

A DL program $\pi \in \text{prog}$ is called a while-program if:

- ① \cup occurs only within the patterns of **if**,
- ② $*$ occurs only within the patterns of **while**,
- ③ $\text{var} := *$ does not occur for any variable $\text{var} \in \text{Var}$

Reminder

$$\begin{aligned} \text{if } \varphi \text{ then } \alpha \text{ else } \beta &:= (? \varphi ; \alpha) \cup (? \neg \varphi ; \beta) \\ \text{while } \varphi \text{ do } \alpha &:= (? \varphi ; \alpha)^* ; ? \neg \varphi \end{aligned}$$

Semantic Definition

A program $\pi \in \text{prog}$ is called deterministic if its accessibility relation is a partial function.

$$\text{i.e., if } (s, t_1), (s, t_2) \in \rho(\pi) \implies t_1 = t_2$$

Characterisation of deterministic programs

A program $\pi \in \text{prog}$ is deterministic iff $\langle \pi \rangle \varphi \rightarrow [\pi] \varphi$ is a tautology for every formula $\varphi \in \text{fml}$.

Observation

While programs are deterministic.

For deterministic programs:

$[\pi]\varphi$ means “ π is **partially** correct with respect to postcondition φ ”

$\langle\pi\rangle\varphi$ means “ π is **totally** correct with respect to postcondition φ ”
(i.e. π partially correct **and** π terminates)

Moreover:

Total correctness is partial correctness plus termination:

$$\models \langle\pi\rangle\varphi \leftrightarrow [\pi]\varphi \wedge \langle\pi\rangle true$$

Expressiveness of uninterpreted FODL

First order dynamic logic is more expressive than first order logic.

Arithmetic **cannot** be axiomatised in FOL

a direct implication of Gödel's Incompleteness Theorem

Arithmetic **can** be axiomatised in FODL

... we shall see how ...

Axiomatisation of natural arithmetic

Signature: Let Σ contain:

- constant o (the “zero”)
- unary function s (the “successor”)

Goal

Define a FODL formula $\varphi_{\mathbb{N}}$ over Σ s.t.

$$D, I \models \varphi_{\mathbb{N}} \quad \text{iff} \quad (D, I(n), I(s)) \cong (\mathbb{N}, 0, +1)$$

Idea:

Formalise: “Every element can be reached by a number of loop iterations from zero.”

Solution:

$$\begin{aligned} \varphi_{\mathbb{N}} := & \quad \forall y. \langle x := o; (x := s(x))^* \rangle x = y \\ & \wedge \quad \forall x, y. ((s(x) = s(y) \rightarrow x = y) \wedge \neg s(x) = o) \end{aligned}$$

Fix the first order structure and domain.

In particular: consider

$$\Sigma_{\mathcal{N}} = (\{0, 1, -1, \dots, +, *\}, \{<\}) \text{ and } \mathcal{N} = (\mathbb{N}, I_{\mathcal{N}})$$

s.t. $I_{\mathcal{N}}$ interprets the symbols “as expected”.

Valid formulas:

- $3 < 5, x < x + 2, 0 * x = 0$
- $(p(0) \wedge \forall x.(p(x) \rightarrow p(x + 1))) \rightarrow \forall x.p(x)$
- $\neg \exists x(0 < x \wedge x < 1)$
- $[y := x; (a := *; x := x + a)^*]x \geq y$
- $x_0 = x \wedge y_0 = y$
 $\rightarrow [x := x + y; y := x - y; x := x - y]x = y_0 \wedge y = x_0$

Relative Completeness and Calculi

Encoding sequences (Gödel, ~1930)

There exists a first-order definable function $\beta : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ with:
For every $n \in \mathbb{N}$ and every sequence $c_1, \dots, c_n \in \mathbb{N}^*$ there exists some c such that $\beta(c, i) = c_i$ for $i = 0, \dots, n$.

c is called the *Gödel number* for c_1, \dots, c_n .

Notation: $c = \ulcorner c_1, \dots, c_n \urcorner$

Example encoding:

$$\ulcorner c_1, \dots, c_n \urcorner := 2^{c_1+1} \cdot 3^{c_2+1} \cdot 5^{c_3+1} \cdot \dots \cdot p_n^{1+c_n}$$

$$\beta(c, i) = k \Leftrightarrow p_i^{k+1} \mid c \wedge p_i^{k+2} \nmid c$$

Example: $\ulcorner 2, 0, 1 \urcorner = 2^3 \cdot 3^1 \cdot 5^2 = 600$

- **Uninterpreted FODL is more expressive than FOL.**

There exists a FODL formula such that no FOL formula has the same models.

- **Is FODL over \mathcal{N} more expressive than FOL over \mathcal{N} ?**

How can the compare expressiveness with a fixed interpretation?

Let L be a logic.

Let $T \subseteq Fml_L$ be a set of formulas (a *theory*).

Oracle

Function $O_T : Fml_L \rightarrow \{true, false\}$ with $\varphi \in T \Leftrightarrow O(\varphi) = true$ is called an **oracle** for T .

Relative Completeness (*Cook, 1978*)

A logic is called complete relative to T if there exists a correct and complete calculus which may make use of oracle O_T .

Note: T (resp. O_T) may not be computable!

Let $T_{\mathcal{N}} = \{\varphi \mid \mathcal{N} \models \varphi\}$ be the set of valid statements over \mathbb{N} .

Theorem

FODL is complete relative to $T_{\mathcal{N}}$.

Programs representable

Every DL program π can be represented as a formula $\kappa(\pi) \in Fml_{FOL_N}$

Here: only one-variable-programs $V(\pi) = \{x\}$
(general case \rightsquigarrow exercise)

Predicate $\kappa(\pi)(x, x')$ has two free variables:

- 1 x for the pre-state,
- 2 x' for the post-state.

Modelling goal:

$$s[x'/s'(x)] \models \kappa(\pi)(x, x') \iff (s, s') \in \rho(\pi)$$

$$\kappa(x := t)(x, x') := x' = t$$

$$\kappa(\pi_1 \cup \pi_2)(x, x') := \kappa(\pi_1)(x, x') \vee \kappa(\pi_2)(x, x')$$

$$\kappa(\pi_1 ; \pi_2)(x, x') := \exists u. \kappa(\pi_1)(x, u) \wedge \kappa(\pi_2)(u, x')$$

$$\kappa(? \varphi)(x, x') := \varphi(x) \wedge x = x'$$

$$\begin{aligned} \kappa(\pi^*) &:= \exists n. \exists^\Gamma x_1, \dots, x_n^\neg. x = x_1 \wedge x' = x_n \\ &\quad \wedge \forall i < n. \kappa(\pi)(x_i, x_{i+1}) \end{aligned}$$

Theorem

There is a function $\kappa : Fml_{FODL_{\mathcal{N}}} \rightarrow Fml_{FOL_{\mathcal{N}}}$ such that

- $\mathcal{N} \models \varphi \leftrightarrow \kappa(\varphi)$ and
- κ is computable.

Proof

by structural induction.

Interesting case:

$$\kappa([\pi]\varphi(x)) \leftrightarrow \forall x'. \kappa(\pi)(x, x') \rightarrow \kappa(\varphi(x'))$$

(Remainder left as exercise)

A practical calculus

Let φ be a FOL formula and π a program with only FOL tests.

Calculus

$$[x := t]\varphi \rightsquigarrow \varphi[x/t]$$

$$[\pi_1 ; \pi_2]\varphi \rightsquigarrow [\pi_1][\pi_2]\varphi$$

$$[\pi_1 \cup \pi_2]\varphi \rightsquigarrow [\pi_1]\varphi \wedge [\pi_2]\varphi$$

$$[?\psi]\varphi \rightsquigarrow \psi \rightarrow \varphi$$

$$[\pi^*]\varphi \rightsquigarrow \text{INV}$$

$$\wedge (\forall \bar{x}. \text{INV} \rightarrow [\pi]\text{INV})$$

$$\wedge (\forall \bar{x}. \text{INV} \rightarrow \varphi)$$

for an arbitrary formula $\text{INV} \in \text{Fml}_{\text{FOL}}$.

$\bar{x} = \text{FV}(\pi)$

The calculus allows reduction of FODL formulae to FOL formulae

Weakest Precondition Calculus

Let φ be a FOL formula and π a **while** program (with FOL tests).

Calculus

$$\begin{aligned} [x := t]\varphi &\rightsquigarrow \varphi[x/t] \\ [\pi_1 ; \pi_2]\varphi &\rightsquigarrow [\pi_1][\pi_2]\varphi \\ [\text{if } \psi \text{ then } \pi_1 \text{ else } \pi_2]\varphi &\rightsquigarrow (\psi \rightarrow [\pi_1]\varphi) \wedge (\neg\psi \rightarrow [\pi_2]\varphi) \\ [\text{while } \psi \text{ do } \pi]\varphi &\rightsquigarrow \text{INV} \\ &\wedge (\forall \bar{x}. \text{INV} \wedge \psi \rightarrow [\pi]\text{INV}) \\ &\wedge (\forall \bar{x}. \text{INV} \wedge \neg\psi \rightarrow \varphi) \end{aligned}$$

for an arbitrary formula $\text{INV} \in \text{Fml}_{\text{FOL}}$.

$$\bar{x} = \text{FV}(\pi)$$

This is the weakest-precondition calculus (*Dijkstra, 1975*)

Notation: $wlp(\pi, \varphi) = [\pi]\varphi$, $wp(\pi, \varphi) = \langle \pi \rangle \varphi$

Let $[\pi]\varphi \rightsquigarrow^* \psi$ be the result of applying the calculus.

① $\models \psi \rightarrow [\pi]\varphi$

ψ is a precondition such that φ is guaranteed to hold after π .

② There exist loop invariants such that $\models \psi \leftrightarrow [\pi]\varphi$

earlier defined $\kappa(\cdot)$ formulates **strongest loop invariants**

Then ψ is the **weakest precondition**

③ If $\models pre \rightarrow \psi$, then also $\models pre \rightarrow [\pi]\varphi$

Prove pre/post-condition contracts by applying calculus to program and postcondition and then showing implication from precondition.

Axioms

All first-order formulas valid in \mathcal{N}

Axioms for PDL

$$\langle x := t \rangle \varphi \leftrightarrow \varphi[x/t] \quad \text{for all first-order } \varphi$$

Rules

$$\frac{F, F \rightarrow G}{G} \quad \text{(modus ponens)}$$

$$\frac{F}{[\pi]F} \quad \frac{F}{\forall x F} \quad \text{(generalisations)}$$

$$\frac{\forall n (F(n+1) \rightarrow \langle \pi \rangle F(n))}{\forall n (F(n) \rightarrow \langle \pi^* \rangle F(0))} \quad \begin{array}{l} \text{for any first-order formula } F \\ \text{(convergence)} \end{array}$$

Theorem

For **any** formula $\varphi \in \text{Fml}_{\text{FODL}}$: $\mathbb{N} \models \varphi \iff \vdash_{\mathbb{N}} \varphi$