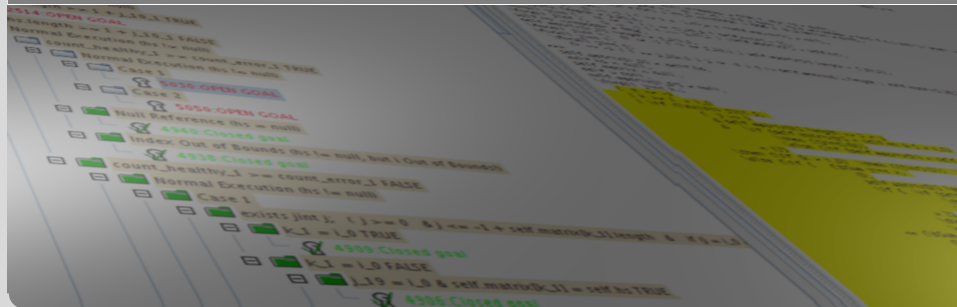


# Kurz-Einführung in Logik & Programmverifikation

PSE-Thema *Analyse formaler Eigenschaften von Wahlverfahren*

Prof. Bernhard Beckert, Sarah Grebing, Michael Kirsten | Karlsruhe, 10. November 2016

INSTITUT FÜR THEORETISCHE INFORMATIK – ANWENDUNGSORIENTIERTE FORMALE VERIFIKATION



# Aussagen- und Prädikatenlogik

# Propositional Logic: Syntax

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## Special symbols

( )  $\neg$   $\wedge$   $\vee$   $\rightarrow$   $\leftrightarrow$

## Signature

**Propositional variables**  $\Sigma = \{p_0, p_1, \dots\}$

## Formulas

- The propositional variables  $p \in \Sigma$  are formulas
- If  $A, B$  are formulas, then

$\neg A$      $(A \wedge B)$      $(A \vee B)$      $(A \rightarrow B)$      $(A \leftrightarrow B)$

**are formulas**

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# Propositional Logic: Semantics

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## Interpretation

Function  $I : \Sigma \rightarrow \{\underline{\text{true}}, \underline{\text{false}}\}$

## Valuation

Extension of interpretation to formulas as follows:

$$\text{val}_I(p) = I(p)$$

$$\text{val}_I(\neg p) = \begin{cases} \underline{\text{true}} & \text{if } I(p) = \underline{\text{false}} \\ \underline{\text{false}} & \text{if } I(p) = \underline{\text{true}} \end{cases}$$

# Propositional Logic: Semantics

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$$\begin{aligned} \text{val}_I(\alpha) &= \left\{ \begin{array}{ll} \underline{\text{true}} & \text{if } \text{val}_I(\alpha_1) = \underline{\text{true}} \\ & \text{and } \text{val}_I(\alpha_2) = \underline{\text{true}} \\ \underline{\text{false}} & \text{if } \text{val}_I(\alpha_1) = \underline{\text{false}} \\ & \text{or } \text{val}_I(\alpha_2) = \underline{\text{false}} \end{array} \right. \\ \text{val}_I(\beta) &= \left\{ \begin{array}{ll} \underline{\text{true}} & \text{if } \text{val}_I(\beta_1) = \underline{\text{true}} \\ & \text{or } \text{val}_I(\beta_2) = \underline{\text{true}} \\ \underline{\text{false}} & \text{if } \text{val}_I(\beta_1) = \underline{\text{false}} \\ & \text{and } \text{val}_I(\beta_2) = \underline{\text{false}} \end{array} \right. \end{aligned}$$

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# Propositional Logic: Semantics

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$$\mathbf{val}_I(A \leftrightarrow B) = \begin{cases} \underline{\mathbf{true}} & \text{if } \mathbf{val}_I(A) = \mathbf{val}_I(B) \\ \underline{\mathbf{false}} & \text{if } \mathbf{val}_I(A) \neq \mathbf{val}_I(B) \end{cases}$$

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# Predicate Logic: Syntax

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## Additional special symbols

“,” “ $\forall$ ” “ $\exists$ ”

## Object variables

**Var** =  $\{x_0, x_1, \dots\}$

## Signature

**Triple**  $\Sigma = \langle F_\Sigma, P_\Sigma, \alpha_\Sigma \rangle$  **consisting of**

- **set**  $F_\Sigma$  **of function symbols**
- **set**  $P_\Sigma$  **of predicate symbols**
- **function**  $\alpha_\Sigma : F_\Sigma \cup P_\Sigma \rightarrow \mathbb{N}$   
**assigning aritys to function and predicate symbols**

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# Predicate Logic: Syntax

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## Terms

- **variables  $x \in \text{Var}$  are terms**
- **if  $f \in F_\Sigma$ ,  $\alpha_\Sigma(f) = n$ , and  $t_1, \dots, t_n$  terms, then  $f(t_1, \dots, t_n)$  is a term**

## Atoms

**If  $p \in P_\Sigma$ ,  $\alpha_\Sigma(p) = n$ , and  $t_1, \dots, t_n$  terms, then  $p(t_1, \dots, t_n)$  is an atom**

# Predicate Logic: Syntax

---

## Formulas

- **Atoms are formulas**
- **If  $A, B$  are formulas,  $x \in \text{Var}$ , then**

$$\neg A, \quad (A \wedge B), \quad (A \vee B), \quad (A \rightarrow B), \quad (A \leftrightarrow B), \quad \forall x A, \quad \exists x A$$

**are formulas**

## Literals

**If  $A$  is an atom, then  $A$  and  $\neg A$  are literals**

# First-order Logic

## Propositional logic

Assumes that the world contains **facts**

## First-order logic

Assumes that the world contains

- ▶ **Objects**

people, houses, numbers, theories, Donald Duck, colors, centuries, ...

- ▶ **Relations**

red, round, prime, multistoried, ...  
brother of, bigger than, part of, has color, occurred after, owns, ...

- ▶ **Functions**

+, middle of, father of, one more than, beginning of, ...

# Syntax of First-order Logic: Basic Elements

## Symbols

**Constants**     *KingJohn, 2, Karlsruhe, C, ...*

**Predicates**     *Brother, >, =, ...*

**Functions**     *Sqrt, LeftLegOf, ...*

**Variables**     *x, y, a, b, ...*

**Connectives**    $\wedge$     $\vee$     $\neg$     $\Rightarrow$     $\Leftrightarrow$

**Quantifiers**     $\forall$     $\exists$

## Note

The **equality predicate** is always in the vocabulary  
It is written in infix notation

# Syntax of First-order Logic: Atomic Sentences

## Atomic sentence

$\text{predicate} ( \text{term}_1, \dots, \text{term}_n )$

or

$\text{term}_1 = \text{term}_2$

## Term

$\text{function} ( \text{term}_1, \dots, \text{term}_n )$

or

$\text{constant}$

or

$\text{variable}$

# Syntax of First-order Logic: Atomic Sentences

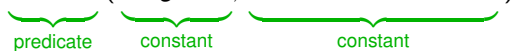
## Example

*Brother ( KingJohn, RichardTheLionheart )*

# Syntax of First-order Logic: Atomic Sentences

## Example

*Brother* ( *KingJohn*, *RichardTheLionheart* )



predicate      constant      constant

# Syntax of First-order Logic: Atomic Sentences

## Example

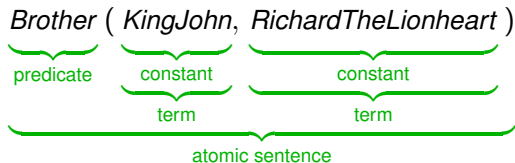
*Brother* ( *KingJohn*, *RichardTheLionheart* )

predicate      constant      constant

term      term

# Syntax of First-order Logic: Atomic Sentences

## Example



# Syntax of First-order Logic: Complex Sentences

Built from atomic sentences using connectives

$$\neg S \quad S_1 \wedge S_2 \quad S_1 \vee S_2 \quad S_1 \Rightarrow S_2 \quad S_1 \Leftrightarrow S_2$$

(as in propositional logic)

# Syntax of First-order Logic: Complex Sentences

Built from atomic sentences using connectives

$$\neg S \quad S_1 \wedge S_2 \quad S_1 \vee S_2 \quad S_1 \Rightarrow S_2 \quad S_1 \Leftrightarrow S_2$$

(as in propositional logic)

Example

$$\textit{Sibling}(\textit{KingJohn}, \textit{Richard}) \Rightarrow \textit{Sibling}(\textit{Richard}, \textit{KingJohn})$$

# Syntax of First-order Logic: Complex Sentences

Built from atomic sentences using connectives

$$\neg S \quad S_1 \wedge S_2 \quad S_1 \vee S_2 \quad S_1 \Rightarrow S_2 \quad S_1 \Leftrightarrow S_2$$

(as in propositional logic)

## Example

$$\underbrace{Sibling}_{\text{predicate}}(\underbrace{KingJohn}_{\text{term}}, \underbrace{Richard}_{\text{term}}) \Rightarrow \underbrace{Sibling}_{\text{predicate}}(\underbrace{Richard}_{\text{term}}, \underbrace{KingJohn}_{\text{term}})$$

# Syntax of First-order Logic: Complex Sentences

Built from atomic sentences using connectives

$$\neg S \quad S_1 \wedge S_2 \quad S_1 \vee S_2 \quad S_1 \Rightarrow S_2 \quad S_1 \Leftrightarrow S_2$$

(as in propositional logic)

## Example

$$\underbrace{\underbrace{\text{Sibling}}_{\text{predicate}} \underbrace{(\text{KingJohn})}_{\text{term}} \underbrace{, \text{Richard}}_{\text{term}}}_{\text{atomic sentence}} \Rightarrow \underbrace{\underbrace{\text{Sibling}}_{\text{predicate}} \underbrace{(\text{Richard})}_{\text{term}} \underbrace{, \text{KingJohn}}_{\text{term}}}_{\text{atomic sentence}}$$

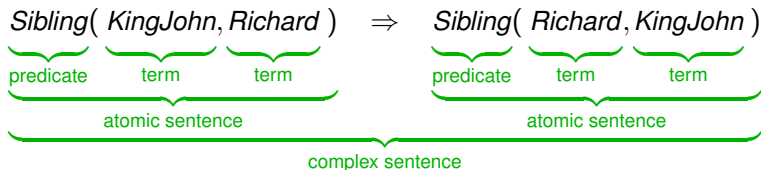
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Built from atomic sentences using connectives

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(as in propositional logic)

## Example



# Semantics in First-order Logic

## Models of first-order logic

Sentences are true or false with respect to models, which consist of

- ▶ a **domain** (also called universe)
- ▶ an **interpretation**

## Domain

A non-empty (finite or infinite) set of arbitrary elements

## Interpretation

Assigns to each

- constant symbol: a domain element
- predicate symbol: a relation on the domain (of appropriate arity)
- function symbol: a function on the domain (of appropriate arity)

# Semantics in First-order Logic

## Definition

An **atomic sentence**

$$\textit{predicate} ( \textit{term}_1, \dots, \textit{term}_n )$$

is true in a certain model (that consists of a domain and an interpretation)

iff

the domain elements that are the interpretations of  $\textit{term}_1, \dots, \textit{term}_n$  are in the relation that is the interpretation of *predicate*

The truth value of a **complex sentence** in a model is computed from the truth-values of its atomic sub-sentences in the same way as in propositional logic

# Universal Quantification: Syntax

## Syntax

$\forall$  *variables sentence*

## Example

“Everyone studying in Karlsruhe is smart:

$$\forall \underbrace{x}_{\text{variables}} \underbrace{(StudiesAt(x, Karlsruhe) \Rightarrow Smart(x))}_{\text{sentence}}$$

# Universal Quantification: Semantics

## Semantics

$\forall x P$  is true in a model

iff

for all domain elements  $d$  in the model:

$P$  is true in the model when  $x$  is interpreted by  $d$

## Intuition

$\forall x P$  is roughly equivalent to the conjunction of all instances of  $P$

**Example**      $\forall x \text{StudiesAt}(x, \text{Karlsruhe}) \Rightarrow \text{Smart}(x)$      equivalent to:

$\text{StudiesAt}(\text{KingJohn}, \text{Karlsruhe}) \Rightarrow \text{Smart}(\text{KingJohn})$   
 $\wedge \text{StudiesAt}(\text{Richard}, \text{Karlsruhe}) \Rightarrow \text{Smart}(\text{Richard})$   
 $\wedge \text{StudiesAt}(\text{Karlsruhe}, \text{Karlsruhe}) \Rightarrow \text{Smart}(\text{Karlsruhe})$   
 $\wedge \dots$

# Existential Quantification: Syntax

## Syntax

$\exists$  *variables sentence*

## Example

“Someone studying in Karlsruhe is smart:

$$\exists \underbrace{x}_{\text{variables}} \underbrace{(StudiesAt(x, Karlsruhe) \wedge Smart(x))}_{\text{sentence}}$$

# Existential Quantification: Semantics

## Semantics

$\exists x P$  is true in a model

iff

there is a domain element  $d$  in the model such that:

$P$  is true in the model when  $x$  is interpreted by  $d$

## Intuition

$\exists x P$  is roughly equivalent to the disjunction of all instances of  $P$

**Example**      $\exists x \text{StudiesAt}(x, \text{Karlsruhe}) \wedge \text{Smart}(x)$      equivalent to:

- $\text{StudiesAt}(\text{KingJohn}, \text{Karlsruhe}) \wedge \text{Smart}(\text{KingJohn})$
- ✓  $\text{StudiesAt}(\text{Richard}, \text{Karlsruhe}) \wedge \text{Smart}(\text{Richard})$
- ✓  $\text{StudiesAt}(\text{Karlsruhe}, \text{Karlsruhe}) \wedge \text{Smart}(\text{Karlsruhe})$
- ✓ ...

# Equality

## Semantics

$term_1 = term_2$  is true under a given interpretation  
if and only if  
 $term_1$  and  $term_2$  have the same interpretation

## Examples

$1 = 2$  and  $\forall x \times (Sqrt(x), Sqrt(x)) = x$  are satisfiable  
 $2 = 2$  is valid

# Properties of First-order Logic

## Important notions

- ▶ validity
- ▶ satisfiability
- ▶ unsatisfiability
- ▶ entailment

are defined for first-order logic in the same way as for propositional logic

# Programmverifikation

## Hoare-Tripel (Syntax)

$$\{P\} S \{Q\}$$

- $P, Q$ : Formeln der Prädikatenlogik; beschreiben Mengen von Programmezuständen
- $S$ : Programm der while-Sprache

## Hoare-Tripel (intuitive Semantik)

Wird  $S$  in einem Zustand gestartet, in dem  $P$  gilt, so gilt nach dessen Ausführung  $Q$ , *falls*  $S$  terminiert. (partielle Korrektheit).

Totale Korrektheit entspricht partieller Korrektheit + Terminierung.

$$\{x \doteq 0\} \quad x = x + 1 \quad \{x > 0\}$$

$$\{i \doteq a \wedge j \doteq b\} \quad k = i; i = j; j = k \quad \{i \doteq b \wedge j \doteq a\}$$

$$\{x \doteq 0\} \quad x = x + 1 \quad \{x > 0\}$$

$$\{i \doteq a \wedge j \doteq b\} \quad k = i; i = j; j = k \quad \{i \doteq b \wedge j \doteq a\}$$

Zu zeigen:

$$\{i \doteq a \wedge j \doteq b\} \text{ k} = \text{i}; \text{i} = \text{j}; \text{j} = \text{k} \{i \doteq b \wedge j \doteq a\}$$

Beweis durch Hoare-Kalkül:

$$\begin{array}{c}
 \frac{\{P \wedge i \doteq i\} \quad \{P \wedge i \doteq i\}}{\{P \wedge i \doteq i\} \text{ k} := \text{i} \{P \wedge k \doteq i\}} \\
 \hline
 \frac{\vdots}{\{P\} \text{ k} := \text{i} \{P \wedge k \doteq a\}} \quad \frac{\vdots}{\{P \wedge k \doteq a\} \text{ i} := \text{j}; \text{j} := \text{k} \{Q\}} \\
 \hline
 \{P\} \text{ k} := \text{i}; \text{i} := \text{j}; \text{j} := \text{k} \{Q\}
 \end{array}$$

## Verstärkung der Vorbedingung

Für Formeln  $P, P'$ : wenn  $P' \rightarrow P$  und  $\{P\} S \{Q\}$  gültig, dann ist auch  $\{P'\} S \{Q\}$  gültig.

### Beispiel

Aus

$$\underbrace{\{x \geq 0\}}_P \quad \underbrace{x = x + 1}_S \quad \underbrace{\{x > 0\}}_Q$$

folgt

$$\underbrace{\{x \dot{=} 0\}}_{P'} \quad x = x + 1 \quad \{x > 0\}$$

Die Formel  $P$  ist für dieses Beispiel auch die *schwächste Vorbedingung* für  $S$  bzgl.  $Q$  (im Folgenden geschrieben:  $P = wp(S, Q)$ ).

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$\{P\} S \{Q\}$  gültig

gdw.

$P \rightarrow \text{wp}(S, Q)$

- $\text{wp}(\text{"skip"}, P) = P$
- $\text{wp}(\text{"S; Prog"}, P) = \text{wp}(\text{"S"}, \text{wp}(\text{"Prog"}, P))$
- $\text{wp}(\text{"x = e"}, P) = P[x/e]$
- $\text{wp}(\text{"assert Q"}, P) = P \wedge Q$
- $\text{wp}(\text{"assume Q"}, P) = Q \rightarrow P$
- $\text{wp}(\text{"if (B) S1; else S2"}, P) =$   
 $(B \rightarrow \text{wp}(\text{"S1"}, P)) \wedge (\neg B \rightarrow \text{wp}(\text{"S2"}, P))$

- $\text{wp}(\text{"skip"}, P) = P$
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Vor Berechnung der WP eines Programms mit Schleifen werden alle Schleifen  $k$ -mal ausgerollt.

Für  $k = 1$ :

---

```
assume (x >= 0);  
i = 0;  
y = 0;  
while (i < x)  
{  
    y = y + 2 * i + 1;  
    i = i + 1;  
  
}  
assert (y == x*x);
```

---

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Für  $k = 1$ :

---

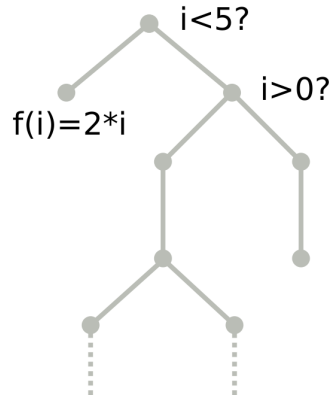
```
assume (x >= 0);  
i = 0;  
y = 0;  
if (i < x)  
{  
    y = y + 2 * i + 1;  
    i = i + 1;  
    if (i < x) assume(false)  
}  
assert (y == x*x);
```

---

Für  $k = 2$ :

```
assume(x >= 0);  
i = 0;  
y = 0;  
if (i < x)  
{  
    y = y + 2 * i + 1;  
    i = i + 1;  
    if (i < x) {  
        y = y + 2 * i + 1;  
        i = i + 1;  
        if (i < x) assume(false)  
    }  
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assert(y == x*x);
```

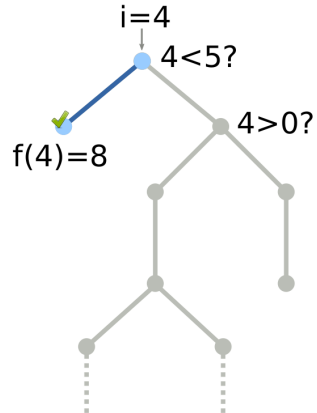
```
int f(int i) {  
    if (i < 5)  
        return 2*i  
    else {  
  
        while (i > 0)  
            { ... }  
    }  
    ...  
}
```



## Nachweisverfahren

- Testen
- Bounded Model Checking
- Deduktive Verifikation

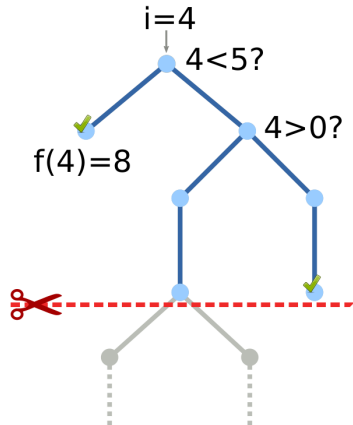
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        while (i > 0)  
            { ... }  
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```



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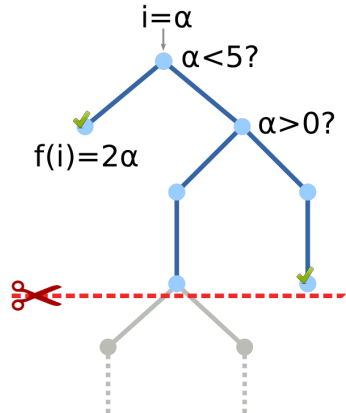
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    ...  
}
```



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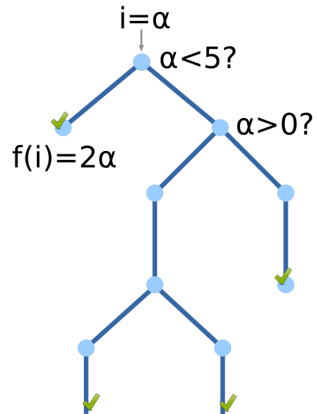
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            { ... }  
    }  
    ...  
}
```



## Nachweisverfahren

- Testen
- Bounded Model Checking
- Deduktive Verifikation

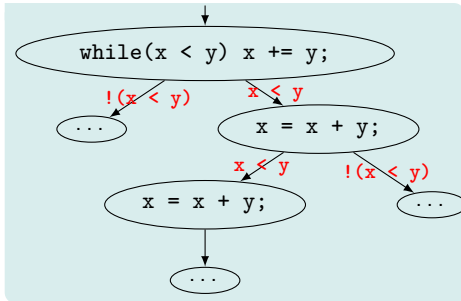
```
int f(int i) {  
    if (i < 5)  
        return 2*i  
    else {  
        //invariant i%2 = 0  
        while (i > 0)  
            { ... }  
    }  
    ...  
}
```



## Nachweisverfahren

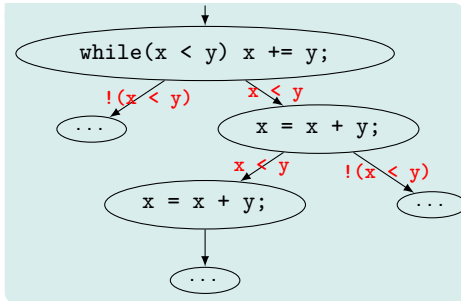
- Testen
- Bounded Model Checking
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# (Software) Bounded Model Checking



- Static program analysis using symbolic execution
- Exhaustive check by unwinding the control flow graph
- Bounded in number of loop unwindings and recursions

- SBMC converts program into logical equations, sent to SAT solver
- Special „unwinding assertion“ claims added to check whether longer program paths may be possible
- Checks whether specified assertions can be violated



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## Specification

- Properties specified using assume and assert statements
- A program Prog is **correct** if

$$\text{Prog} \wedge \bigwedge \text{assume} \Rightarrow \bigwedge \text{assert}$$

is valid.

- Prog is automatically generated logical encoding of the program

## Verification

- Checking properties for programs generally undecidable
- SBMC analyses only program runs up to **bounded** length
- Property checking becomes decidable by logical encoding
- Can be decided using SAT- or SMT-solver

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# CBMC

```
#include <stdlib.h>
#include <stdint.h>
#include <assert.h>

int nondet_uint();
#define LENGTH 2
#define assume(x) __CPROVER_assume(x)

int main(int argc, char *argv[]) {
    unsigned int a[LENGTH];
    for (unsigned int i = 0; i < LENGTH; i++) {
        a[i] = nondet_uint();
        assume (0 < a[i]);
    }
    assert (0 < a[0] + a[1]);
    return 0;
}
```

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#include <stdlib.h>
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- Analysieren Sie das Programm mit CBMC
- Probieren Sie auch die Option `--trace`
- Konstanten (`LENGTH`) können übergeben werden (`-D LENGTH=2`)
- Manchmal muss man mittels `--unwind` eine direkte Schranke geben

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#include <stdlib.h>
#include <stdint.h>
#include <assert.h>

int nondet_uint();
#define LENGTH 2
#define assume(x) __CPROVER_assume(x)

int main(int argc, char *argv[]) {
    unsigned int a[LENGTH];
    for (unsigned int i = 0; i < LENGTH; i++) {
        a[i] = nondet_uint();
        assume (0 < a[i] && a[i] < 3);
    }
    assert (0 < a[0] + a[1]);
    return 0;
}
```

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```
unsigned int voting(unsigned int votes[V]) { .. }
void anonymity(unsigned int votes1[V],
               unsigned int votes2[V],
               unsigned int c, unsigned int d,
               unsigned int v, unsigned int w) {
  assume (0 < c && c <= C); assume (0 < d && d <= C);
  assume (0 <= v && v < V); assume (0 <= w && w < V);
  for (unsigned int i = 0; i < V; i++) {
    assume (0 < votes1[i] && votes1[i] <= C);
    assume (0 < votes2[i] && votes2[i] <= C);
    if (i != v && i != w)
      assume (votes1[i] == votes2[i]);
  }
  assume (votes1[v] == c && votes1[w] == d);
  assume (votes2[v] == d && votes2[w] == c);
  unsigned int elect1, elect2 = ..;
  assert (elect1 == elect2);
}
```

```
int main(int argc, char *argv[]) {
    unsigned int v1[V], v2[V];
    for (unsigned int i = 0; i < V; i++) {
        v1[i] = nondet_uint();
        v2[i] = nondet_uint();
    }
    unsigned int cand1 = nondet_uint();
    unsigned int cand2 = nondet_uint();
    unsigned int voter1 = nondet_uint();
    unsigned int voter2 = nondet_uint();

    anonymity(v1, v2, cand1, cand2, voter1, voter2);
    return 0;
}
```

Vielen Dank  
für die Aufmerksamkeit!

Gibt es Fragen?

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**Gibt es Fragen?**