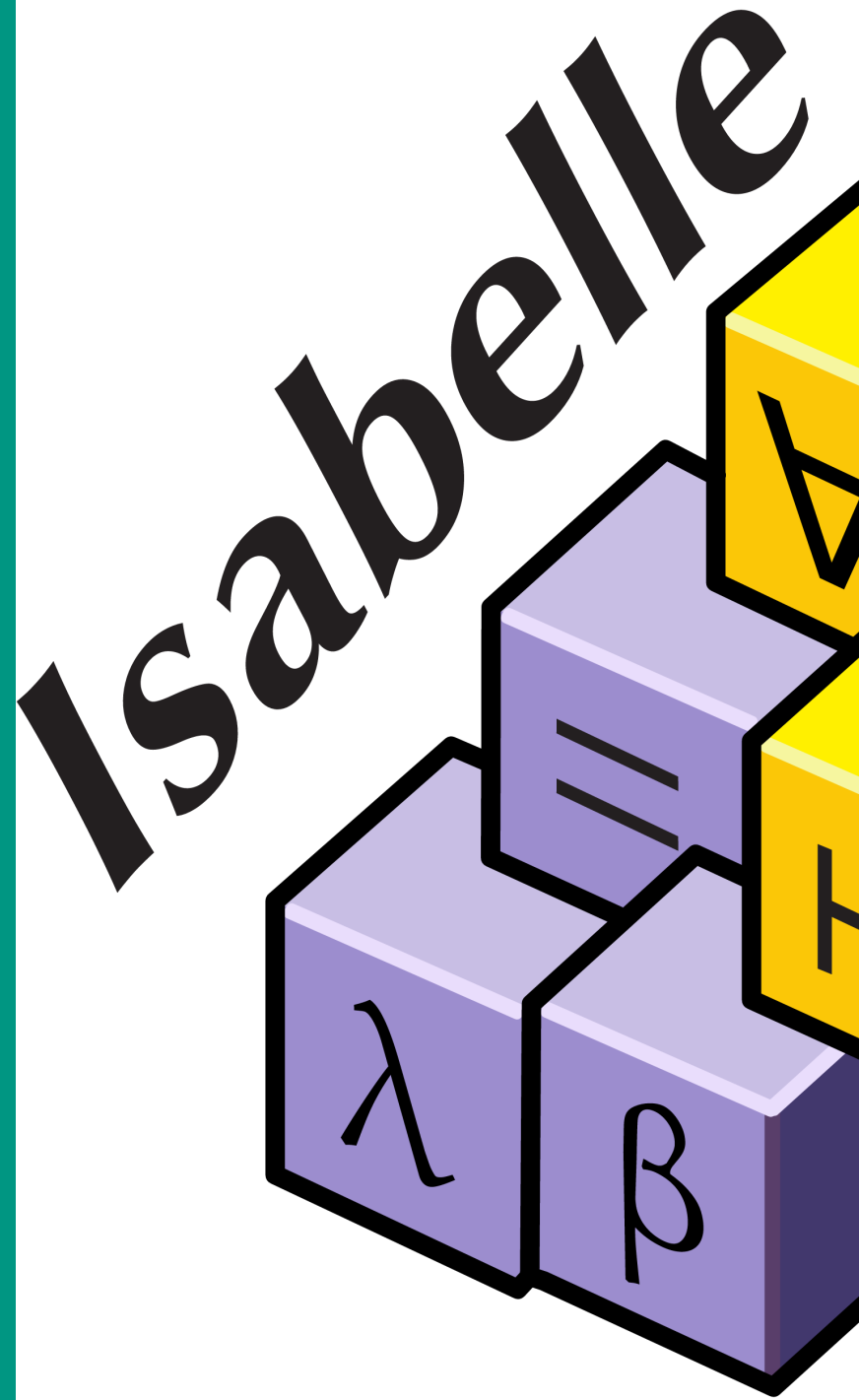


Theorem Prover Lab

Episode 4: Higher-Order Logic

Terru Stübinger | 2025-11-26



Today

1. Homework
2. A couple more proof patterns
3. What is HOL, anyways?
4. A little bit about type classes

Isar: Collecting facts with `moreover`

Facts can be collected:

```

1 proof -
2   have "P1"
3   moreover have "P2"
4   moreover have "P3"
5   ultimately
6     have "..."
```



```
1 proof -
2   have fact1: " $P_1$ "
3   have fact2: " $P_2$ "
4   have fact3: " $P_3$ "
5   from fact1 fact2 fact3
6     have "..."
```

Facts are collected in the name calculation

Isar: abbreviations with `let`

```
let ?t = "some-big-formula"
:
have "... ?t ..."
```

Isar: pattern matching with (is ...)

Abbreviations can also be given by matching on a term:

```
lemma "t1 = t2" (is "?a = ?b")
proof
  assume ?a
  :
  show ?b
next
  assume ?b
  :
  show ?a
qed
```

Isar: managing facts explicitly with `note`

```
lemma assumes "... " shows "... "
proof cases
  case True
  have " $P_1$ "
  note facts = assms True this
  :
  from facts have "... "
```

lsar playground: notepad

notepad begins a proof in which anything can be assumed

```
1 notepad begin
2     assume "a  $\vee$   $\neg$  a"
3     :
4 end
```

lsar playground: notepad

notepad begins a proof in which anything can be assumed

```
1 notepad begin
2     assume "a  $\wedge$   $\neg$  a"
3     :
4 end
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lsar playground: notepad

notepad begins a proof in which anything can be assumed

```
1 notepad begin
2     assume "a  $\wedge$   $\neg$  a"
3     :
4 end
```

... but anything inside will be discarded afterwards

Today: Higher-Order Logic

So far we've been using Isabelle/HOL, and also mentioned a metalogic ... but how does it work "under the hood?"

Isabelle has two main “layers”:

- Isabelle/PURE, the meta logic
- an object logic we're working in (usually: HOL, based on Gordon 1988)

→ Nothing about HOL is built-in, it's all just axioms & definitions!

Literature

- [1] Michael JC Gordon. “HOL: A proof generating system for higher-order logic”. In: *VLSI specification, verification and synthesis*. Springer, 1988, pp. 73–128.
- [2] Ondrej Kunar and Andrei Popescu. “Comprehending Isabelle/HOLs consistency”. In: *European Symposium on Programming*. Springer. 2017, pp. 724–749.
- [3] Tobias Nipkow and Simon RoSSkopf. “Isabelle’s Metalogic: Formalization and Proof Checker.”. In: *CADE*. 2021, pp. 93–110.
- [4] Lawrence C. Paulson. “Zermelo Fraenkel Set Theory in Higher-Order Logic”. In: *Archive of Formal Proofs* (Oct. 2019). https://isa-afp.org/entries/ZFC_in_HOL.html, Formal proof development. ISSN: 2150-914x.
- [5] Andrew Pitts. “The HOL Logic”. In: ed. by Michael JC Gordon and Tom F Melham. Cambridge University Press, 1993.

The Metalogic \mathcal{M} (Isabelle/PURE)

Before we can talk about logic, we need a language to talk *in*!

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Simply-typed λ -calculus with polymorphic types, and:

- a type prop of “propositions”
- operators \Rightarrow (implication), \bigwedge (universal quantification) and \equiv (equality)
- (and $\&\&\&$, defined via \Rightarrow)

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- frequently used implicitly (e.g. by `assume`, `fix`, `and`, `definition`, in the goal state ...)

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- (and `&&&`, defined via \Rightarrow)
- frequently used implicitly (e.g. by `assume`, `fix`, `and`, `definition`, in the goal state ...)

But: structure of prop left undefined in \mathcal{M}

→ to do anything useful, we need an *object logic* which gives meaning to `prop`!

Object Logics

So far we've been using HOL, but there are others!

CTT

Constructive Type Theory

ZFC

Zermelo-Fraenkel Set Theory (with Choice)

FOL

First-Order Logic

■ ■ ■

Object logics don't have to be part of the distribution

HoTT

Homotopy Type Theory

& other experiments ...

& many extensions to HOL in the AfP

& for this course: MiniHOL

MiniHOL.thy

Defining HOL: Equality

Two axioms characterise equality, one to work with it:

$$(x = x) :: \text{bool} \quad (\text{refl})$$

$$(\bigwedge x. fx = gy) \implies (\lambda x. f\ x) = (\lambda x. g\ x) \quad (\text{ext})$$

$$s = t \implies Ps \implies Pt \quad (\text{subst})$$

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$$s = t \implies Ps \implies Pt \quad (\text{subst})$$

Then we can define:

$$\text{True} \equiv \lambda(x :: \text{bool}). x = \lambda x. x$$

And immediately have that true holds:

```
1 lemma TrueI: True
2   unfolding True_def
3   by (rule refl)
```

Introduction
○○

Isar
○○○○○

References

Higher-Order Logic
○○●○○○○○○○○○○○○○○○○○○○○

Further axioms: Relating to the metalogic

HOL's implication and equality should be the same as in \mathcal{M}

$$(P \Longrightarrow Q) \Longrightarrow P \longrightarrow Q \quad (\text{impl})$$

$$P \longrightarrow Q \Longrightarrow P \Longrightarrow Q \quad (\text{mp})$$

$$a = b \Longrightarrow a \equiv b \quad (\text{eq_reflection})$$

What about $a \equiv b \Longrightarrow a = b$?

Further axioms: Relating to the metalogic

HOL's implication and equality should be the same as in \mathcal{M}

$$(P \implies Q) \implies P \longrightarrow Q \quad (\text{impl})$$

$$P \longrightarrow Q \implies P \implies Q \quad (\text{mp})$$

$$a = b \implies a \equiv b \quad (\text{eq_reflection})$$

What about $a \equiv b \implies a = b$?
→ Proof!

Aside: Structuring rules

Isabelle/HOL is modelled after *Natural Deduction*

- Similar to sequent calculus
- but only has one goal in each rule

Rules come in two flavours:

- *introduction* explains how to prove a connective
- *elimination* explains what you can prove using a connective

$$\frac{A \quad B}{A \wedge B}$$

$$\frac{A \wedge B}{A}$$

$$\frac{A \wedge B}{B}$$

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$$\frac{A \quad B}{A \wedge B} \wedge\text{-intro}$$

$$\frac{A \wedge B}{A} \wedge\text{-elim1}$$

$$\frac{A \wedge B}{B} \wedge\text{-elim2}$$

Naming convention: conjI vs conjE

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$$\frac{A}{A \vee B}$$

$$\frac{B}{A \vee B}$$

$$\frac{A \vee B \quad \begin{array}{c} [A] \\ P \end{array} \quad \begin{array}{c} [B] \\ P \end{array}}{P}$$

Naming convention: conjI vs conjE

Introduction
○○

Isar
○○○○○

References

Higher-Order Logic
○○○○○●○○○○○○○○○○○○○○○○○○

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$$\frac{B}{A \vee B} \vee\text{-intro2}$$

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Naming convention: conjI vs conjE

Introduction
○○

Isar
○○○○○○

References

Higher-Order Logic
○○○○○●○○○○○○○○○○○○○○○○○○

Applying rules

If we have an introduction rule, we can apply it:

```
1 apply (rule ...)
```

For elimination rules:

```
1 apply (erule ...)
```

Some rules can be useful for both!

Frequently this leaves us with goals like $a \implies b \implies a$

```
1 apply assumption
```

Eliminating equals true

Introduction
○○

Isar
○○○○○

References

Higher-Order Logic
○○○○○○●○○○○○○○○○○○○○○○○

Eliminating equals true

1

```
lemma eqTrueE: "P = True  $\Rightarrow$  P"
```

2

```
...
```

Eliminating equals true

```
1 lemma eqTrueE: "P = True  $\Rightarrow$  P"
2 proof (erule subst)
3   show "P = True  $\Rightarrow$  True = P"
4     by (erule sym)
5 next
6   show "P = True  $\Rightarrow$  True"
7     using TrueI by assumption
8 qed
```

Meta logic & object logic: prop vs bool

Pure's prop is set to HOL's bool, with implicit coercions as needed

```
judgement Trueprop :: "bool  $\Rightarrow$  prop"  (<_> 5)
```

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$$P \equiv \text{True} \Rightarrow P$$
$$P \equiv (\text{True} \Rightarrow P)$$

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$P = (\text{True} \Rightarrow P)$
 $\forall x. x \equiv x$
 $\forall x. (x \Rightarrow \text{True})$

are syntactic nonsense

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Meta-level \wedge , \Rightarrow and \equiv can only talk about prop; use \forall , \longrightarrow and $=$ instead if inside terms.

\forall and False

True can be used to define \forall

$$\forall P \equiv P = (\lambda x. \text{True})$$

$$\text{False} \equiv \forall P. P$$

Excluded Middle

$$(P :: \text{bool}) = \text{False} \vee P = \text{True}$$

(true_or_false)

Effectively collapses `bool` into just the two values.

Now we can prove:

- `iffI`: $(a \implies b) \implies (b \implies a) \implies a = b$
- Finally also `eqTrueI`: $P \implies P = \text{True}$
- And the \forall -intro rule

MiniHOL.thy

Introduction
○○

Isar
○○○○○

References

Higher-Order Logic
○○○○○○○○○○●○○○○○○○○○○

Definite description: THE

Axiom:

$$(\text{THE } x. x = a) = a$$

(the_eq_trivial)

What does $\text{THE } x. P x$ mean?

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“Give me the unique x for which $P x$ holds”

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“Give me the unique x for which $P \ x$ holds”

Used e.g. to define **if**:

$$\text{if } P \text{ then } x \text{ else } y \equiv (\text{THE } z ::' a. (P = \text{True} \rightarrow z = x) \wedge (P = \text{False} \rightarrow z = y))$$

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What if no such x exists?

→ no applicable rule, nothing provable, “stuck”

Undefinedness

Undefinedness has its own axiom:

$\text{undefined} :: 'a \quad (\text{undef})$

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What can you prove about undefined?

Existential Quantification

$$\exists x. P\ x \equiv \forall Q. (\forall x. P\ x \longrightarrow Q) \longrightarrow Q$$

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Some x exists

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Existential Quantification

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Some x exists

$\forall x. P\ x \longrightarrow Q \equiv Q$
 $\forall Q. Q \longrightarrow Q \equiv \text{True}$

Note: this differs from Gordon's original formulation

Indefinite description (Hilbert's epsilon)

SOME is an even stronger version of THE:

$$P\ x \implies P(\text{SOME } x. P\ x) \quad (\text{someI})$$

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(this is equivalent to the Axiom of Choice)

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SOME is an even stronger version of THE:

$$P\ x \implies P(\text{SOME } x. P\ x) \quad (\text{someI})$$

(this is equivalent to the Axiom of Choice)

Can define $\exists x. P\ x \equiv P(\text{SOME } x. P\ x)$ (e.g. in Gordon 1988).

Included in Isabelle/HOL, but no longer used for core definitions.

A Note on `value`

Isabelle/HOL makes no claim to be computable!

→ `value` command fails for things like `THE`, `SOME`, `undefined`, ...

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- for non-computable things it will complain
- but: can help by giving *code equations*: `lemma[code] : "f a = ..."`
- code generator is outside the trusted inference kernel (“oracle”)

Technically, no guarantee that `value` agrees with the logic!

Sets

Sets are “just” $\text{'a} \Rightarrow \text{bool}$ inside a new type:

$$\begin{array}{ccc} x \in A & & \{x. P\ x\} \\ \approx & & \approx \\ A \rightarrow \text{bool} & & \lambda x. P\ x \end{array}$$

Use two axioms to populate the new type.

- these are “small” sets (not sets as in ZF)
- (full ZFC sets can be added to HOL Paulson 2019, but not needed in practice)

Defining new types

So far all types were simply `typedef1`'d and then populated by axioms.

Safer way to define types:

```
1  typedef foo = "{x. ...}"  
2    by (...)
```

- new (sub-)type containing the elements of the given set
- need to prove that the set is non-empty!
- explicit coercions: `Abs_foo` and `Rep_foo`

Infinity & Natural Numbers

Axiom of infinity:

$$\exists (s :: \text{ind} \Rightarrow \text{ind}) (z :: \text{ind}). (\forall x\ y. s\ x = s\ y \longrightarrow x = y) \wedge (\forall x. s\ x \neq z))$$

What does this do?

Infinity & Natural Numbers

Axiom of infinity:

$$\exists(s :: \text{ind} \Rightarrow \text{ind})(z :: \text{ind}). (\forall x y. s\ x = s\ y \longrightarrow x = y) \wedge (\forall x. s\ x \neq z))$$

With z and s we can encode natural numbers!

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With z and s we can encode natural numbers!

```
1 inductive Nat :: "ind  $\Rightarrow$  bool" where
2   Zero_RepI: "Nat z"
3   | Suc_RepI: "Nat i  $\Rightarrow$  Nat (s i)"
```

Infinity & Natural Numbers

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With z and s we can encode natural numbers!

```
1 inductive Nat :: "ind  $\Rightarrow$  bool" where
2   Zero_RepI: "Nat z"
3   | Suc_RepI: "Nat i  $\Rightarrow$  Nat (s i)"
4
5 typedef nat = "{n :: ind. Nat n}"
6 morphisms Rep_Nat Abs_Nat using Nat.Zero_RepI by auto
7
8 definition "Zero  $\equiv$  Abs_Nat z"
9 definition "Suc n  $\equiv$  Abs_Nat (s (Rep_Nat n))"
```

Introduction



Isar



References

Higher-Order Logic



Back to “normal” Isabelle/HOL: datatypes

The datatype.thy theory provides an easier way to do such constructions

```
1 datatype nat = Zero | Suc n
```

Internally, performs a (more general) construction & proves additional lemmas

```
1 datatype 'a list = Nil | Cons 'a "'a list"
2
3 term "Rep_list :: 'a list  $\Rightarrow$  'a list_IITN_list
4       $\Rightarrow$  (nat + unit + nat  $\times$  nat) set  $\Rightarrow$  'a + bool"
```

... and with that, we’re “back” to what we know

Why trust these axioms?

Introduction
○○

Isar
○○○○○

References

Higher-Order Logic
○○○○○○○○○○○○○○○○○○○○○○○○○○○○○○●○

Why trust these axioms?

Classically, consistency is shown by providing a semantics:

- The classic semantics for Gordon's HOL given by Andrew Pitts Pitts 1993
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- (however: oracles work outside the inference kernel, use `thm_oracles` to check)
- Proof Checker for metalogic \mathcal{M} formalised within Isabelle Nipkow and RoSSkopf 2021

Conclusion

You should be able to answer now:

- How the core of HOL works
- How HOL and the meta logic interact
- How to manually apply rules

Until next week:

- Download and work on the fourth exercise sheet
- Submit your solution to ILIAS

See you next week! :)