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**Formal Specification of Software**

# **Propositional and Predicate Logic**

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# Propositional Logic: Syntax

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## Special symbols

( )     $\neg$      $\wedge$      $\vee$      $\rightarrow$      $\leftrightarrow$

## Signature

**Propositional variables**  $\Sigma = \{p_0, p_1, \dots\}$

## Formulas

- The propositional variables  $p \in \Sigma$  are formulas
- If  $A, B$  are formulas, then

$\neg A$      $(A \wedge B)$      $(A \vee B)$      $(A \rightarrow B)$      $(A \leftrightarrow B)$

are formulas

# Propositional Logic: Unified Notation

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Introduced by Smullyan, 1968

**Conjunctive formulas**      Type  $\alpha$

$$\neg\neg A \quad (A \wedge B) \quad \neg(A \vee B) \quad \neg(A \rightarrow B)$$

**Disjunctive formulas**      Type  $\beta$

$$\neg(A \wedge B) \quad (A \vee B) \quad (A \rightarrow B)$$

# Propositional Logic: Unified Notation

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Non-literal formulas and their corresponding “logical” sub-formulas

$\alpha$	$\alpha_1$	$\alpha_2$	$\beta$	$\beta_1$	$\beta_2$
$A \wedge B$	$A$	$B$	$\neg(A \wedge B)$	$\neg A$	$\neg B$
$\neg(A \vee B)$	$\neg A$	$\neg B$	$A \vee B$	$A$	$B$
$\neg(A \rightarrow B)$	$A$	$\neg B$	$A \rightarrow B$	$\neg A$	$B$
$\neg\neg A$	$A$	$A$			

# Propositional Logic: Semantics

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## Interpretation

Function  $I : \Sigma \rightarrow \{\text{true}, \text{false}\}$

## Valuation

Extension of interpretation to formulas as follows:

$$\mathbf{val}_I(p) = I(p)$$

$$\mathbf{val}_I(\neg p) = \begin{cases} \text{true} & \text{if } I(p) = \text{false} \\ \text{false} & \text{if } I(p) = \text{true} \end{cases}$$

# Propositional Logic: Semantics

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$$\begin{aligned}\mathbf{val}_I(\alpha) &= \left\{ \begin{array}{ll} \mathbf{true} & \text{if } \mathbf{val}_I(\alpha_1) = \mathbf{true} \\ & \quad \text{and } \mathbf{val}_I(\alpha_2) = \mathbf{true} \\ \mathbf{false} & \text{if } \mathbf{val}_I(\alpha_1) = \mathbf{false} \\ & \quad \text{or } \mathbf{val}_I(\alpha_2) = \mathbf{false} \end{array} \right. \\ \mathbf{val}_I(\beta) &= \left\{ \begin{array}{ll} \mathbf{true} & \text{if } \mathbf{val}_I(\beta_1) = \mathbf{true} \\ & \quad \text{or } \mathbf{val}_I(\beta_2) = \mathbf{true} \\ \mathbf{false} & \text{if } \mathbf{val}_I(\beta_1) = \mathbf{false} \\ & \quad \text{and } \mathbf{val}_I(\beta_2) = \mathbf{false} \end{array} \right. \end{aligned}$$

# Propositional Logic: Semantics

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$$\mathbf{val}_I(A \leftrightarrow B) = \begin{cases} \mathbf{true} & \text{if } \mathbf{val}_I(A) = \mathbf{val}_I(B) \\ \mathbf{false} & \text{if } \mathbf{val}_I(A) \neq \mathbf{val}_I(B) \end{cases}$$

# Predicate Logic: Syntax

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## Additional special symbols

“,”    “ $\forall$ ”    “ $\exists$ ”

## Object variables

**Var** =  $\{x_0, x_1, \dots\}$

## Signature

**Triple**  $\Sigma = \langle F_\Sigma, P_\Sigma, \alpha_\Sigma \rangle$  **consisting of**

- **set  $F_\Sigma$  of functions symbols**
- **set  $P_\Sigma$  of predicate symbols**
- **function  $\alpha_\Sigma : F_\Sigma \cup P_\Sigma \rightarrow \mathbb{N}$**   
**assigning arities to function and predicate symbols**

# Predicate Logic: Syntax

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## Terms

- **variables**  $x \in \text{Var}$  are terms
- **if**  $f \in F_\Sigma$ ,  $\alpha_\Sigma(f) = n$ , **and**  $t_1, \dots, t_n$  terms, **then**  $f(t_1, \dots, t_n)$  **is a term**

## Atoms

**If**  $p \in P_\Sigma$ ,  $\alpha_\Sigma(p) = n$ , **and**  $t_1, \dots, t_n$  terms, **then**  $p(t_1, \dots, t_n)$  **is an atom**

# Predicate Logic: Syntax

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## Formulas

- Atoms are formulas
- If  $A, B$  are formulas,  $x \in \text{Var}$ , then

$\neg A, (A \wedge B), (A \vee B), (A \rightarrow B), (A \leftrightarrow B), \forall x A, \exists x A$

are formulas

## Literals

If  $A$  is an atom, then  $A$  and  $\neg A$  are literals

# Example

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## Signature

$$\Sigma_{\leq} = \langle \{0, a, b, f\}, \{in\_iv, leq\}, \alpha \rangle$$

with

$$\alpha(0) = \alpha(a) = \alpha(b) = 0$$

$$\alpha(f) = 1$$

$$\alpha(leq) = 2$$

$$\alpha(in\_iv) = 3 \quad (\textbf{in interval})$$

## Formula

$$\phi = \underbrace{\neg leq(y, x)}_{\textbf{Atom}} \rightarrow \exists z \underbrace{(\neg leq(z, x) \wedge \neg leq(y, z))}_{\textbf{Scope of } \exists z}$$

# Predicate Logic: Unified Notation

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Extension of unified notation for propositional logic

**Universal formulas**      Type  $\gamma$

$$\forall x A \quad \neg \exists x A$$

**Existential formulas**      Type  $\delta$

$$\neg \forall x A \quad \exists x A$$

# Predicate Logic: Unified Notation

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$\gamma$ - and  $\delta$ -formulas and their corresponding “logical” sub-formulas

$\gamma$	$\gamma_1(x)$	$\delta$	$\delta_1(x)$
$\forall x A(x)$	$A(x)$	$\neg \forall x A(x)$	$\neg A(x)$
$\neg \exists x A(x)$	$\neg A(x)$	$\exists x A(x)$	$A(x)$

# Predicate Logic: Semantics

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## Interpretation

A pair       $\mathcal{D} = \langle D, I \rangle$       where

- $D$  an arbitrary non-empty set, the *universe*
- $I$  an interpretation function

for  $f \in F_\Sigma$ :       $I(f) : D^{\alpha(f)} \rightarrow D$

for  $p \in P_\Sigma$ :       $I(p) : D^{\alpha(p)} \rightarrow \{\underline{\text{true}}, \underline{\text{false}}\}$

## Variable assignment

A function       $\lambda : \mathbf{Var} \rightarrow D$

# Predicate Logic: Semantics

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## Valuation

Extension of interpretation and variable assignment to formulas

$$\mathbf{val}_{\mathcal{D}, \lambda}(x) = \lambda(x) \quad \text{for } x \in \mathbf{Var}$$

$$\mathbf{val}_{\mathcal{D}, \lambda}(f(t_1, \dots, t_n)) = I(f)(\mathbf{val}_{\mathcal{D}, \lambda}(t_1), \dots, \mathbf{val}_{\mathcal{D}, \lambda}(t_n))$$

$$\mathbf{val}_{\mathcal{D}, \lambda}(p(t_1, \dots, t_n)) = I(p)(\mathbf{val}_{\mathcal{D}, \lambda}(t_1), \dots, \mathbf{val}_{\mathcal{D}, \lambda}(t_n))$$

$$\mathbf{val}_{\mathcal{D}, \lambda}(\forall x A) = \begin{cases} \underline{\text{true}} & \text{if } \mathbf{val}_{\mathcal{D}, \lambda_x^d}(A) = \underline{\text{true}} \quad \text{for all } d \in D \\ \underline{\text{false}} & \text{otherwise} \end{cases}$$

$$\mathbf{val}_{\mathcal{D}, \lambda}(\exists x A) = \begin{cases} \underline{\text{true}} & \text{if } \mathbf{val}_{\mathcal{D}, \lambda_x^d}(A) = \underline{\text{true}} \quad \text{for some } d \in D \\ \underline{\text{false}} & \text{otherwise} \end{cases}$$

$\mathbf{val}_{\mathcal{D}, \lambda}$  defined for propositional operators in the same way as  $\mathbf{val}_I$ .

# Predicate Logic: Semantics

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## Example

$$D = \mathbb{R}$$

$$I(0) = 0$$

$$I(a) = -1$$

$$I(b) = 1$$

$$I(f) = \begin{cases} \mathbb{R} \rightarrow \mathbb{R} \\ x \mapsto x^2 \end{cases}$$

$$I(\text{leq}) = \underline{\text{true}} \quad \text{iff} \quad x \leq_{\mathbb{R}} y$$

$$I(\text{in\_iv}) = \underline{\text{true}} \quad \text{iff} \quad x \in [a, b]$$

# Predicate Logic: Semantics

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## Model

An interpretation  $\mathcal{D}$  is model of a set  $\Phi$  of formulas iff

$\text{val}_{\mathcal{D}, \lambda}(A) = \underline{\text{true}}$  for all  $\lambda$  and all  $A \in \Phi$ .

Notation:  $\mathcal{D} \models \Phi$

## Satisfiable

$\Phi$  ist satisfiable iff there are

an interpretation  $\mathcal{D}$  and a variable assignment  $\lambda$  s.t.

$\text{val}_{\mathcal{D}, \lambda}(A) = \underline{\text{true}}$  for all  $A \in \Phi$

## Validity

$A$  is valid iff

all interpretations are a model of  $A$

# Predicate Logic: Semantics

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## Consequence

A formula  $A$  is a consequence of  $\Phi$  iff

all models of  $\Phi$  are models of  $A$  as well

Notation:  $\Phi \models A$

## Equivalent formulas

Two formulas are equivalent iff

they are consequences of each other

## Satisfiability equivalent formulas

Two formulas are satisfiability equivalent iff

they are either both satisfiable or both unsatisfiable

# Substitutions

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## Substitution

**Function**       $\sigma : \text{Var} \rightarrow \text{Term}$

**Written as:**       $\{x_1 \leftarrow t_1, \dots, x_n \leftarrow t_n\}$

**where**      
$$\sigma(x) = \begin{cases} t_i & \text{if } x = x_i \text{ for } 1 \leq i \leq n \\ x & \text{otherwise} \end{cases}$$

## Extension to terms and formulas

By replacing all *free occurrences of variables*  $x$  by  $\sigma(x)$

# Substitutions

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## Example:

$$\phi = \neg leq(y, x) \rightarrow \exists z(\neg leq(z, x) \wedge \neg leq(y, z))$$

$$\sigma = \{x \leftarrow a, y \leftarrow w, z \leftarrow c\}$$

$$\phi\sigma = \neg leq(w, a) \rightarrow \exists z(\neg leq(z, a) \wedge \neg leq(w, z))$$

## Note

**Substitution forbidden in cases such as:**

**$\phi$  as above and  $\sigma = \{y \leftarrow f(z)\}$**

# Typed Signatures

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## Definition

A *typed Signature* is a tuple

$$\Sigma = (S, \leq, F, P, \alpha),$$

where

- $S$  is a finite set of *types* (or *sorts*)
- $\leq$  is a partial ordering on  $S$
- $F, P$  are sets of function and predicate symbols (as before)
- $\alpha : F \cup P \rightarrow S^*$  assigns argument and domain types to function and predicate symbols

# Typed Signatures

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## The function $\alpha$

$\alpha(f) = Z_1 \dots Z_n Z'$  means:

$f$  is a symbol for functions assigning to  $n$ -tuples of elements of type  $Z_1 \dots Z_n$  an element of type  $Z'$

$\alpha(p) = Z_1, \dots, Z_n$  means:

$p$  is a symbol for relations on  $n$ -tuples of elements of types  $Z_1, \dots, Z_n$

## Variables are typed as well

For each type  $Z \in S$  there is an infinite set of variables of type  $Z$

# Typed Signatures: Terms

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- If  $x$  is a variable of type  $Z$ , then  $x$  is a term of type  $Z$
  - If
    - $t_1, \dots, t_n$  are terms of types  $Y_1, \dots, Y_n$
    - $f$  is a functions symbol with  $\alpha(f) = Z_1 \cdots Z_n Z'$
    - $Y_i \leq Z_i$  for all  $1 \leq i \leq n$
- then  $f(t_1, \dots, t_n)$  is a term of type  $Z'$ .

# Typed Signatures: Formulas

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- If
  - $t_1, \dots, t_n$  are terms with types  $Y_1, \dots, Y_n$
  - $p$  is a predicate symbol  $\alpha(p) = Z_1 \cdots Z_n$
  - $Y_i \leq Z_i$  for all  $1 \leq i \leq n$
- then  $p(t_1, \dots, t_n)$  is a typed (or well-sorted) formula
- If  $t, s$  are terms of sorts  $X$  and  $Y$  with  $X \leq Y$  or  $Y \leq X$ ,  
then  $t \doteq s$  is a typed formula
- If  $A, B$  are typed formulas, then so are
  - $\neg A$
  - $(A \wedge B)$
  - $(A \vee B)$
  - $(A \rightarrow B)$

- If  $A$  is a typed formula and  $x$  is a typed variable, then

$$\forall x A \quad \exists x A$$

are typed formulas

# Typed Interpretations

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**Given a signature**  $\Sigma = (S, \leq, F, P, \alpha)$

## Interpretation

**A pair  $(D, I)$  such that**

- $\{D_Z \mid Z \in S\}$  **is a family of non-empty sets with**
  - $D = \bigcup\{D_Z \mid Z \in S\}$
  - $D_{Z_1} \subseteq D_{Z_2}$  **if**  $Z_1 \leq Z_2$
- $I(f) : D_{Z_1} \times \cdots \times D_{Z_n} \rightarrow D_{Z'}$       **if**  $\alpha(f) = Z_1 \cdots Z_n Z'$
- $I(p) \subseteq D_{Z_1} \times \cdots \times D_{Z_n}$       **if**  $\alpha(p) = Z_1 \cdots Z_n$

# Typed Substitutions

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## Typed substitution

A substitution is well-sorted if for each variable  $x$ ,  
the type of the term  $\sigma(x)$  is a sub-type of the type of  $x$

# Special Type Structures

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A type structure  $(S, \leq)$  is

- ***discrete***,  
in case  $Z_1 \leq Z_2$  only if  $Z_1 = Z_2$
- ***a tree structure***,  
in case  $U \leq Z_1$  and  $U \leq Z_2$  implies  $Z_2 \leq Z_1$  oder  $Z_1 \leq Z_2$
- ***a lattice***,  
in case that for any two sorts  $Z_1, Z_2$  there is an infimum  $U$ , i.e.
  - $U \leq Z_1$  and  $U \leq Z_2$
  - $W \leq U$  for every sort  $W \in S$  with  $W \leq Z_1$  and  $W \leq Z_2$