

## Uniform Variable Splitting

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## The context of this work:

- free variable tableaux / sequent calculi
- classical first-order logic
- proof search
- proof theory
- relation to KeY & incremental closure

## Variable independence

A free variable can occur in different context/branches.

**Question:** When are variable occurrences **independent**, i.e. when is it sound to instantiate them differently?

**Goal:** To label variables differently (modulo a set of equations) exactly when they are independent.

## $\gamma$ -rules

**Free variables** are introduced.

$$\frac{\Gamma, \forall x\varphi, \varphi[x/u] \vdash \Delta}{\Gamma, \forall x\varphi \vdash \Delta} L\forall$$

$$\frac{\Gamma \vdash \exists x\varphi, \varphi[x/u], \Delta}{\Gamma \vdash \exists x\varphi, \Delta} R\exists$$

## $\delta$ -rules

**Skolem functions** are introduced.

$$\frac{\Gamma \vdash \varphi[x/f(\vec{u})], \Delta}{\Gamma \vdash \forall x \varphi, \Delta} R\forall$$

$$\frac{\Gamma, \varphi[x/f(\vec{u})] \vdash \Delta}{\Gamma, \exists x \varphi \vdash \Delta} L\exists$$

## Example

$$\frac{\mathbf{Pu} \vdash Pa \quad \mathbf{Pu} \vdash Pb}{\forall x Px \vdash Pa \wedge Pb}$$

The two occurrences of  $u$  are independent.

## Example

We change the order of rule application,

$$\frac{\frac{\mathbf{Pu} \vdash Pa}{\forall x Px \vdash Pa} \quad \frac{\mathbf{Pv} \vdash Pb}{\forall x Px \vdash Pb}}{\forall x Px \vdash Pa \wedge Pb}$$

and two different variables can be introduced.

## Example

With sharing of variables:

$$\frac{\frac{\mathbf{Pu} \vdash Pa}{\forall x Px \vdash Pa} \quad \frac{\mathbf{Pu} \vdash Pb}{\forall x Px \vdash Pb}}{\forall x Px \vdash Pa \wedge Pb}$$

The two occurrences of  $u$  are independent.

## Example - variable splitting

$$\frac{\frac{\mathbf{Pu}^1 \vdash Pa \quad \mathbf{Pu}^2 \vdash Pb}{Pu \vdash Pa \wedge Pb}}{\forall x Px \vdash Pa \wedge Pb}$$

1      2

The occurrences are labeled differently.

## Example - variable splitting

$$\frac{\frac{\mathbf{Pu}^1 \vdash Pa}{(\forall x Px)^1 \vdash Pa} \quad \frac{\mathbf{Pu}^2 \vdash Pb}{(\forall x Px)^2 \vdash Pb}}{\forall x Px \vdash Pa \wedge Pb}$$

1      2

The order does not matter.

## $\beta$ -rules and colored variables

$\beta$ -rules add *indices* to *splitting sets* – example:

$$\frac{(\forall x Px u)\{1, 3, 6, 7\} \vdash \varphi \quad (\forall x Px u)\{1, 3, 6, 8\} \vdash \psi}{(\forall x Px u)\{1, 3, 6\} \vdash \varphi \wedge \psi}$$

7      8

Splitting sets are used to label variables,  $u^{1367}$ ,  $u^{1368}$ , and unification can now be performed on the level of such *colored variables*.

## Comparison to universal/local variables

$$\frac{\frac{\frac{Pu^1 \vdash Pa, Qa}{Pu^1 \vdash Pa \vee Qa} \quad \frac{Pu^2 \vdash Pb, Qb}{Pu^2 \vdash Pb \vee Qb}}{Pu \vdash (Pa \vee Qa) \wedge (Pb \vee Qb)} \quad \frac{\frac{Qu^1 \vdash Pa, Qa}{Qu^1 \vdash Pa \vee Qa} \quad \frac{Qu^2 \vdash Pb, Qb}{Qu^2 \vdash Pb \vee Qb}}{Qu \vdash (Pa \vee Qa) \wedge (Pb \vee Qb)}}{Pu \vee Qu \vdash (Pa \vee Qa) \wedge (Pb \vee Qb)}$$

$$\frac{}{\forall x (Px \vee Qx) \vdash (Pa \vee Qa) \wedge (Pb \vee Qb)}$$

1      2

## Provability and Consistency

## Challenges

A substitution is *admissible* if:

- 1) it solves all balancing equations (e.g.  $u^1 \approx u^{14}$ ) and
- 2) an induced ordering on indices is irreflexive. (This ordering gives the “right” order in which to apply rules.)

A *proof* is a derivation together with an admissible substitution closing all the leaf sequents.